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FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: INK-067 (2108/56)

APPLICANT(S): Wilcox *et al.*

SERIAL NO.: 09/464,264

FILING DATE: December 17, 1999

GROUP: 2721

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
MEM	A1	3,585,381	6/15/71	Hodson <i>et al.</i>	—	—	4/14/69
	A2	3,612,758	10/12/71	Evans <i>et al.</i>	—	—	10/3/69
	A3	3,668,106	6/6/72	Ota	—	—	4/9/70
	A4	3,756,693	9/4/73	Ota	—	—	12/20/71
	A5	3,767,392	10/23/73	Ota	—	—	4/15/70
	A6	3,772,013	11/13/73	Wells	—	—	1/6/71
	A7	3,792,308	2/12/74	Ota	—	—	6/8/70
	A8	3,806,893	4/23/74	Ohnishi <i>et al.</i>	—	—	7/27/72
	A9	3,850,627	11/26/74	Wells <i>et al.</i>	—	—	9/20/72
	A10	3,892,568	7/1/75	Ota	—	—	4/17/70
	A11	3,972,040	7/27/76	Hilsum <i>et al.</i>	—	—	8/12/74
	A12	4,041,481	8/9/77	Sato	—	—	10/1/75
	A13	4,045,327	8/30/77	Noma <i>et al.</i>	—	—	8/26/75
	A14	4,062,009	12/6/77	Raverdy <i>et al.</i>	—	—	7/13/76
	A15	4,071,430	1/31/78	Liebert	—	—	12/6/76
	A16	4,088,395	5/9/78	Giglia	—	—	5/27/76
	A17	4,126,528	11/21/78	Chiang	—	—	7/26/77
	A18	4,143,472	3/13/79	Murata <i>et al.</i>	—	—	12/29/77
	A19	4,203,106	5/13/80	Dalisa <i>et al.</i>	—	—	11/23/77
	A20	4,218,302	8/19/80	Dalisa <i>et al.</i>	—	—	8/2/79
	A21	4,231,641	11/4/80	Randin	—	—	9/27/78
	A22	4,272,596	6/9/81	Harbour <i>et al.</i>	—	—	6/1/79
	A23	4,298,448	11/3/81	Müller <i>et al.</i>	—	—	1/24/80
	A24	4,301,407	11/17/81	Koslar	—	—	10/22/79
	A25	4,314,013	2/2/82	Chang	—	—	4/4/79
	A26	4,324,456	4/13/82	Dalissa	—	—	8/2/79
MEM	A27	4,368,952	1/18/83	Murata <i>et al.</i>	—	—	12/10/80
EXAMINER				DATE CONSIDERED			
Mark & Miller				11/15/02			

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EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
NEW	A28	4,418,346	11/29/83	Batchelder			5/20/81
	A29	4,430,648	2/7/84	Togashi <i>et al.</i>			1/12/81
	A30	4,655,897	4/7/87	DiSanto <i>et al.</i>			11/13/84
	A31	4,703,573	11/3/87	Montgomery <i>et al.</i>			7/7/86
	A32	4,732,830	3/22/88	DiSanto <i>et al.</i>			3/22/88
	A33	4,742,345	5/3/88	DiSanto <i>et al.</i>			11/19/85
	A34	4,746,917	5/24/88	DiSanto <i>et al.</i>			7/14/86
	A35	4,850,919	7/25/89	DiSanto <i>et al.</i>			3/21/88
	A36	4,870,677	9/26/89	DiSanto <i>et al.</i>			9/4/87
	A37	4,919,521	4/24/90	Tada <i>et al.</i>			6/2/88
	A38	4,931,019	6/5/90	Park			9/1/88
	A39	4,947,159	8/7/90	DiSanto <i>et al.</i>			4/18/88
	A40	4,947,219	8/7/90	Boehm			1/6/87
	A41	5,053,763	10/1/91	DiSanto <i>et al.</i>			5/1/89
	A42	5,057,363	10/15/91	Nakanishi			12/27/89
	A43	5,066,946	11/19/91	DiSanto <i>et al.</i>			7/3/89
	A44	5,105,185	4/14/92	Nakanowatari <i>et al.</i>			7/11/90
	A45	5,138,472	8/11/92	Jones <i>et al.</i>			2/11/91
	A46	5,167,508	12/1/92	McTaggart			4/14/91
	A47	5,194,852	3/16/93	More <i>et al.</i>			6/16/89
	A48	5,216,416	6/1/93	DiSanto <i>et al.</i>			8/19/91
	A49	5,223,115	6/29/93	DiSanto <i>et al.</i>			8/23/91
	A50	5,247,290	9/21/93	DiSanto <i>et al.</i>			11/21/91
	A51	5,256,500	10/26/93	Ishimoto			1/21/92
	A52	5,266,937	11/30/93	DiSanto <i>et al.</i>			11/25/91
	A53	5,298,833	3/29/94	Hou			6/22/92
NEW	A54	5,302,235	4/12/94	DiSanto <i>et al.</i>			6/21/91
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EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
MEM	A55	5,316,341	5/31/94	Schwartz			3/8/90
	A56	5,380,362	1/10/95	Schubert			7/16/93
	A57	5,389,945	2/14/95	Sheridon			11/19/93
	A58	5,402,145	3/28/95	DiSanto <i>et al.</i>			2/17/93
	A59	5,411,398	5/2/95	Nakanishi <i>et al.</i>			1/14/94
	A60	5,421,926	6/6/95	Yukinobu <i>et al.</i>			1/27/94
	A61	5,450,069	9/12/95	DiSanto <i>et al.</i>			6/23/94
	A62	5,459,776	10/17/95	DiSanto <i>et al.</i>			1/21/94
	A63	5,460,688	10/24/95	DiSanto <i>et al.</i>			5/5/93
	A64	5,484,292	1/16/96	McTaggart			11/24/92
	A65	5,485,176	1/16/96	Ohara <i>et al.</i>			6/23/94
	A66	5,500,635	3/19/96	Mott			11/10/94
	A67	5,508,720	4/16/96	DiSanto <i>et al.</i>			2/2/94
	A68	5,517,407	5/14/96	Weiner			6/30/94
	A69	5,534,888	7/9/96	Lebby <i>et al.</i>			2/3/94
	A70	5,538,430	7/23/96	Smith <i>et al.</i>			7/26/94
	A71	5,548,282	8/20/96	Escritt <i>et al.</i>			5/4/94
	A72	5,565,885	10/15/96	Tamanoi			6/10/94
	A73	5,575,554	11/19/96	Guritz			12/13/94
	A74	5,627,561	5/6/97	Laspina <i>et al.</i>			4/10/96
	A75	5,650,872	7/22/97	Saxe <i>et al.</i>			1/13/95
	A76	5,673,148	9/30/97	Morris <i>et al.</i>			6/23/94
	A77	5,717,283	2/10/98	Biegelsen <i>et al.</i>			1/3/96
	A78	5,725,935	3/10/98	Rajan			9/26/96
	A79	5,742,879	4/21/98	Altrieth			11/16/92
MEM	A80	5,759,671	6/2/98	Tanaka <i>et al.</i>			8/15/96
MEM	A81	5,786,875	7/28/98	Brader <i>et al.</i>			3/15/96

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EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
MEM	A82	5,828,432	10/27/98	Shashidhar <i>et al.</i>			6/25/97
	A83	5,835,577	11/10/98	DiSanto <i>et al.</i>			4/25/96
	A84	5,930,026	7/27/99	Jacobson <i>et al.</i>			10/25/96
	A85	5,961,804	10/5/99	Jacobson <i>et al.</i>			3/18/97
MEM	A86	6,014,247	1/11/00	Winter <i>et al.</i>			6/5/98

FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG Y/N
MEM	B1	0 325 013 A1	7/26/89	EP			1/20/88		Y
	B2	0 325 013 B1	7/26/89	EP			1/20/88		Y
	B3	0 363 030 A2	4/11/90	EP			9/13/89		Y
	B4	0 363 030 B1	4/11/90	EP			9/13/89		Y
	B5	0 390 303 A2	10/3/90	EP			3/30/90		Y
	B6	0 396 247 A2	11/7/90	EP			3/27/90		Y
	B7	0 396 247 B1	11/7/90	EP			3/27/90		Y
	B8	0 417 362 A1	3/20/91	EP			9/15/89		Y
	B9	0 417 362 B1	3/20/91	EP			9/15/89		Y
	B10	0 443 571 A2	8/28/91	EP			2/21/91		Y
	B11	0 448 853 A1	10/2/91	EP			3/27/90		Y
	B12	0 448 853 B1	10/2/91	EP			3/27/90		Y
	B13	0 570 995 A1	11/24/93	EP			3/27/90		Y
	B14	0 570 995 B1	11/24/93	EP			3/27/90		Y
	B15	0 575 475 B1	12/29/93	EP			2/28/92		Y
	B16	0 601 075 B1	6/15/94	EP			8/21/92		Y
	B17	0 604 423 B1	7/6/94	EP			9/17/91		Y
	B18	0 618 715 A1	10/5/94	EP			12/14/92		Y
MEM	B19	0 684 579 A2	11/29/95	EP			4/28/95		Y

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EXAM. INIT.	DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG Y/N
MEM	B20	0 899 651 A2	3/3/99	EP		7/15/98		Y
	B21	1 314 906	4/26/73	GB		6/12/69		Y
	B22	1 465 701	3/2/77	GB		11/22/73		Y
	B23	2 292 119 A	2/14/96	GB		8/7/95		Y
	B24	2 306 229 A	4/30/97	GB		9/9/96		Y
	B25	2 324 273 A	10/21/98	GB		8/7/95		Y
	B26	01086116	3/30/89	JP		9/29/87	Y	Y
	B27	07036020	2/7/95	JP		7/19/93	Y	Y
	B28	08234176	9/13/96	JP		2/24/95	Y	Y
	B29	09230391	9/5/97	JP		2/26/96	Y	Y
	B30	10 149118A	6/2/98	JP		11/21/96	English Abstract	N
	B31	10-161161	6/19/98	JP		12/4/96		Y
	B32	10-48673	2/20/98	JP		8/6/96		Y
	B33	11202804	7/30/99	JP		1/14/98	Y	Y
	B34	11212499	8/6/99	JP		1/23/98	Y	Y
	B35	11219135	8/10/99	JP		2/3/98	Y	Y
	B36	3118196	5/20/91	JP		9/30/89	Y	Y
	B37	53-73098	6/29/78	JP		12/13/76		Y
	B38	54111368	8/31/79	JP		2/20/78	Y	Y
	B39	55096922	7/23/80	JP		1/19/79	Y	Y
	B40	5-61421	3/12/93	JP		8/29/91		Y
	B41	59098227	6/6/84	JP		11/26/82	Y	Y
	B42	6-202168	7/22/94	JP		12/28/92		Y
	B43	62058222	3/13/87	JP		9/9/85	Y	Y
	B44	62299824	12/26/87	JP		6/19/86	Y	Y
	B45	64-86116	3/30/89	JP		9/29/89	Y	Y
	B46	9-185087	7/15/97	JP		12/28/95		Y
MEM	B47	9-6277	1/10/97	JP		6/26/95		Y

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ATTORNEY DOCKET NO.: INK-067 (2108756)

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FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG Y/N
men	B48	WO 82/02961	9/2/82	PCT			2/23/82		Y
	B49	WO 92/21733	12/10/92	PCT			5/30/91		Y
	B50	WO 93/02443	2/4/93	PCT			7/15/91		Y
	B51	WO 93/04459	3/4/93	PCT			8/17/92		Y
	B52	WO 94/24236	10/27/94	PCT			4/7/94		Y
	B53	WO 95/07527	3/16/95	PCT			8/15/94		Y
	B54	WO 95/10107	4/13/95	PCT			9/26/94		Y
	B55	WO 95/19227	7/20/95	PCT			1/12/94		Y
	B56	WO 97/01166	1/9/97	PCT			6/24/96		Y
	B57	WO 97/04398	2/6/97	PCT			7/16/96		Y
	B58	WO 97/20274	6/5/97	PCT			11/29/96		Y
	B59	WO 97/24907	7/10/97	PCT			12/26/96		Y
	B60	WO 98/03896	1/29/98	PCT			8/20/96		Y
	B61	WO 98/19208	5/7/98	PCT			10/17/97		Y
	B62	WO 98/41898	9/24/98	PCT			3/6/98		Y
	B63	WO 98/41899	9/24/98	PCT			3/12/98		Y
	B64	WO 99/10767	3/4/99	PCT			8/27/98		Y
	B65	WO 99/10768	3/4/99	PCT			8/27/98		Y
	B66	WO 99/10769	3/4/99	PCT			8/27/98		Y
	B67	WO 99/41728	8/19/99	PCT			2/11/98		Y
	B68	WO 99/41732	8/19/99	PCT			2/17/98		Y
	B69	WO 99/41788	8/19/99	PCT			2/17/98		Y
	B70	WO 99/44229	9/2/99	PCT			1/28/99		Y
	B71	WO 99/45582	9/10/99	PCT			1/28/99		Y
	B72	WO 99/47970	9/23/99	PCT			3/18/99		Y
men	B73	WO 99/53371	10/21/99	PCT			4/9/99		Y

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ATTORNEY DOCKET NO.: INK-067 (2108/56)

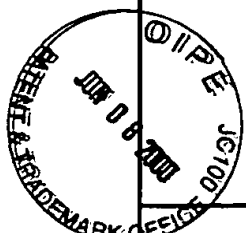
APPLICANT(S): Wilcox *et al.*

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FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG Y/N
WKM	B74	WO 99/56171	11/4/99	PCT			4/27/99		Y

OTHER ART, JOURNAL ARTICLES, ETC.

EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
WKM	C1	Sankus, "Electrophoretic Display Cell," <u>Xerox Disclosure Journal</u> , May/June 1979, Vol. 4, No. 3, (p. 309).							
	C2	Ackerman, "E Ink of Cambridge Gets Start-Up Funding," <u>Boston Globe</u> , December 24, 1997 (p. D4).							
	C3	Ballinger <i>et al.</i> , "Magnetic Recording Paper is Erasable," <u>Electronics</u> , March 1973, (pp. 73-76)							
	C4	Beilin <i>et al.</i> , "2000-Character Electrophoretic Display," <u>SID 86 DIGEST</u> , 1986, (pp. 136-140)							
	C5	Blazo, "High Resolution Electrophoretic Display with Photoconductor Addressing," <u>SID 82 DIGEST</u> , 1982, (pp. 92-93)							
	C6	Kenward, "Displaying a Winning Glow," <u>Technology Review</u> , Jan/Feb 1999, (pp. 69-73)							
	C7	Comiskey <i>et al.</i> , "Late-News Paper: Electrophoretic Ink: A Printable Display Material," <u>SID 97 Digest</u> , 1997, (pp. 75-76)							
	C8	Dalisa, "Electrophoretic Display Technology," <u>Transactions on Electron Devices</u> , July 1977, Vol. 24, No. 7, (pp. 827-834)							
	C9	Fitzhenry, "Optical Effects of Adsorption of Dyes on Pigment Used in Electrophoretic Image Displays," <u>Applied Optics</u> , October 1979, Vol. 18, No. 19, (pp. 3332-3337)							
	C10	Fitzhenry-Ritz, "Optical Properties of Electrophoretic Image Displays," <u>Proceedings of the SID</u> , 1981, Vol. 22, No. 4, (pp. 300-309)							
	C11	Gutcho, "Additional Uses for Encapsulated Products," <u>Microencapsules and Microencapsulation Techniques</u> , date unknown, (pp. 278-343)							
	C12	Howe, "MIT Book Would Bind Computer "Ink" to Paper," <u>Boston Globe</u> , March 17, 1996, (pp. 31 & 35)							
	C13	Lewis <i>et al.</i> , "Gravitational, Inter-Particle and Particle-Electrode Forces in the Electrophoretic Display," <u>Proceeding of the SID</u> , 1977, Vol. 18, Nos. 3 & 4 (pp. 235-242)							
	C14	Mürau <i>et al.</i> , "An Electrophoretic Radiographic Device," <u>SID 79 Digest</u> , 1979, (pp. 46-47)							
	C15	Ziemelis, "Putting it on Plastic," <u>Nature</u> , June 1998, Vol. 393, (pp. 619-620)							
WKM	C16	"E Ink Debuts in J.C. Penney Stores," <u>Boston Herald</u> , May 3, 1999, (p. 27)							
EXAMINER	WKM				DATE CONSIDERED 11/15/02				

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OTHER ART, JOURNAL ARTICLES, ETC.

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OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)

new	C17	Shiwa <i>et al.</i> , "5.6: Electrophoretic Display Method Using Ionographic Technology," <u>SID 88 Digest</u> , 1988, (pp. 61-62)
	C18	Dobson, "Electronic Book is a Whole Library," <u>Sunday Times Newspaper</u> , Book Review, February 25, 1996
	C19	Vizard, "Electric Tales Computer Chips Replace Wood Chips in the Pages of 21 st Century Books," <u>Popular Science</u> , 1997
	C20	Yamaguchi <i>et al.</i> , "Equivalent Circuit of Ion Projection-Driven Electrophoretic Display," <u>IEICE Transactions</u> , 1991, Vol. 74, No. 12, (pp. 4152-4156)
	C21	Wilmsen, "'Electronic Ink' Sign Debuts at JCPenney," <u>Boston Globe</u> , May 4, 1999, (p. 9).
	C22	Flaherty, "What Did Disappearing Ink Grow Up to Be? Electronic Ink," <u>The New York Times</u> , May 6, 1999.
	C23	Negroponte <i>et al.</i> , "Surfaces and Displays," <u>Wired</u> , January 1997, (p. 212)
	C24	Comiskey <i>et al.</i> , "An Electrophoretic Ink for All-Printed Reflective Electronic Displays," <u>Nature</u> , July 1998, Vol. 394, (pp. 253-255)
	C25	Zurer, "Digital Ink Brings Electronic Books Closer," <u>Chemical and Engineering News</u> , July 1998, (pp. 12-13)
	C26	Peterson, "Rethinking Ink. Printing the Pages of an Electronic Book," <u>Science News</u> , June 1998, Vol. 153, (pp. 396-397)
	C27	Guernsey, "Beyond Neon: Electronic Ink," <u>New York Times</u> , June 3, 1999 (p. B11)
	C28	Yang, "The Investigation of Image Formation in a Large-Area Solid State X-Ray Receptor with Electrophoretic Display", <u>J. Appl. Phys.</u> , September 1983, Vol. 54, No. 9, (pp. 4711-4721)
	C29	Antia, "Switchable Reflections Make Electronic Ink," <u>Science</u> , July 1999, Vol. 285 (p. 658).
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EXAMINER

Mark S. Miller

DATE CONSIDERED

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FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: INK-067 (2108/56)

APPLICANT(S): Wilcox *et al.*

SERIAL NO.: 09/464,264

FILING DATE: December 17, 1999

GROUP: 2721

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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG Y/N
MEM	B87	GB 2 255 934A	11/25/92	UK				N	Y
MEM	B88	GB 2 094 044	9/8/82	UK				N	Y

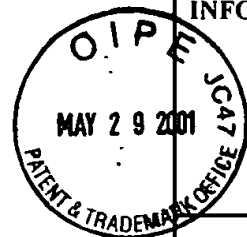
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EXAM. INIT.	DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
men	B89	WO 99/05236	02/04/99	PCT			N	Y (abstract only)
men	B90	WO 99/05237	02/04/99	PCT			N	Y (abstract only)
men	B91	WO 99/05238	02/04/99	PCT			N	Y (abstract only)
men	B92	WO 99/05645	02/04/99	PCT			N	Y (abstract only)
men	B93	WO 99/05646	02/04/99	PCT			N	Y (abstract only)

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